



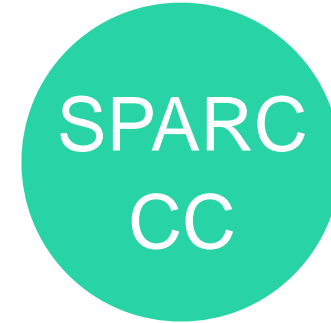
Settlement Processing And Risk Control

A complete web based solution for Brokers, sub brokers and clients

Different Editions of SPARC



Web / Browser based for
Corporate office & Aps /
SubBrokers



HTML 5 Responsive
Customer care site for
Clients

Features

SUB BROKER PURVIEW

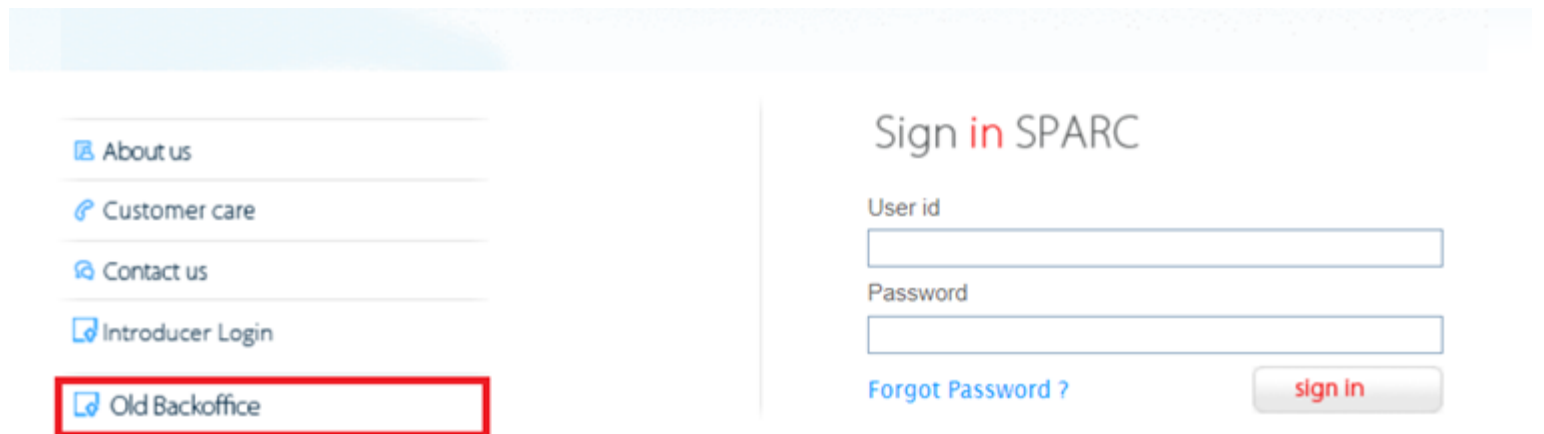
- ❑ User can log on to Sparc through web browser, preferably Google Chrome

<http://backoffice.bfsl.com/sparcim>

- ❑ Collect your user credentials from BGFSL IT Team

Login to the system using user credentials (Usercode and password)

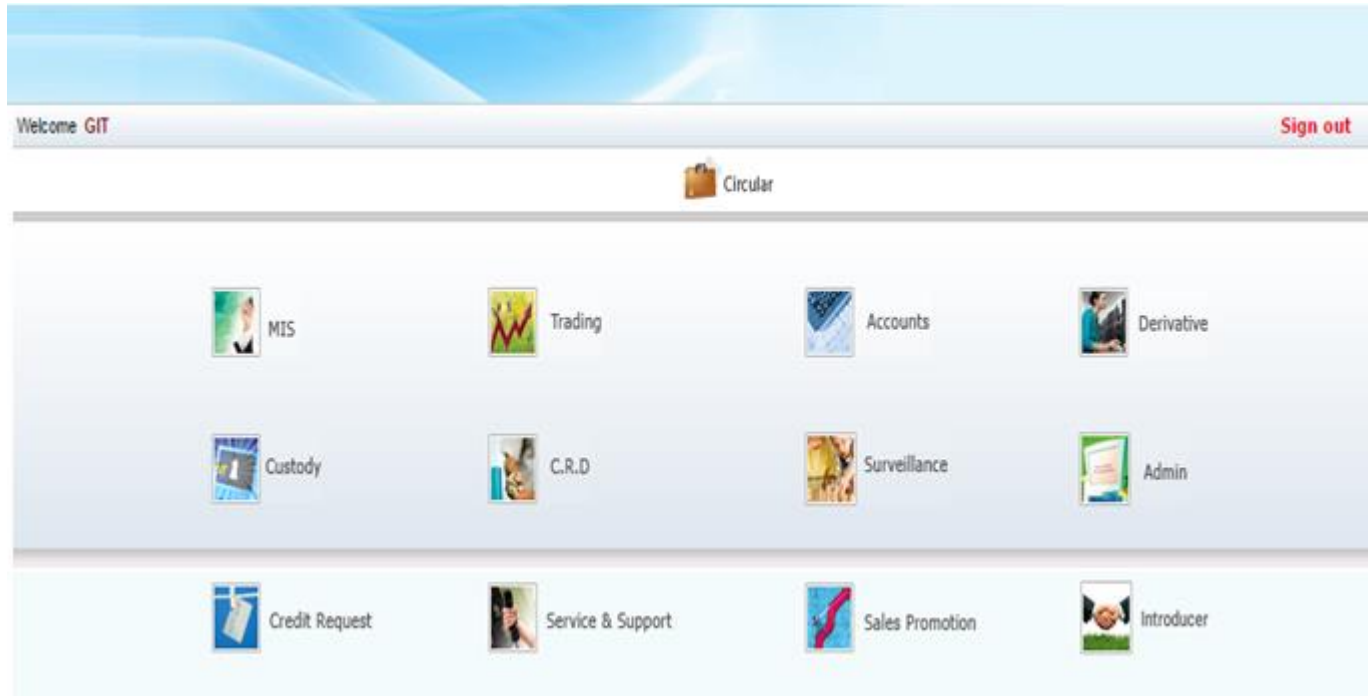
Please access the Old Back office data from the link given in the login page



The screenshot displays the SPARC login interface. On the left, a vertical menu contains five links: 'About us', 'Customer care', 'Contact us', 'Introducer Login', and 'Old Backoffice'. The 'Old Backoffice' link is highlighted with a red rectangular border. On the right, the 'Sign in SPARC' section features two input fields for 'User id' and 'Password', a 'Forgot Password ?' link, and a 'sign in' button.

SPARC Modules

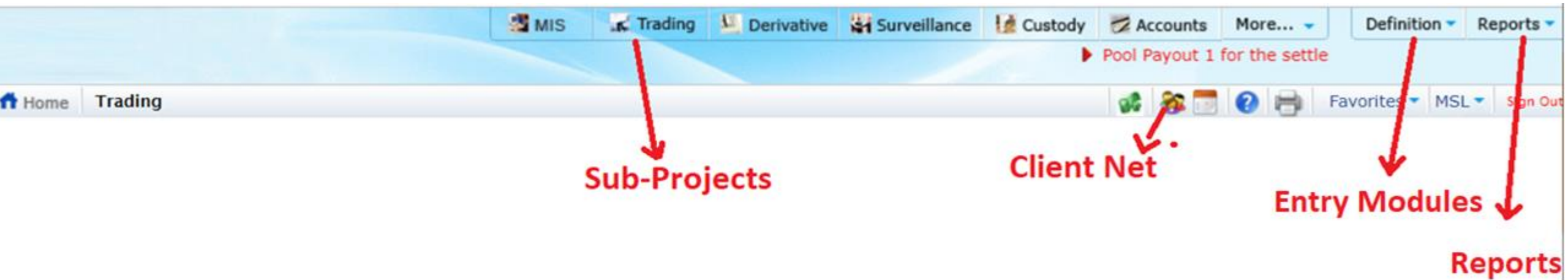
Select the subproject



The screenshot displays a web interface for SPARC Modules. At the top, a light blue header contains the text "Welcome GIT" on the left and "Sign out" on the right. Below the header, a central navigation bar features a "Circular" icon and label. The main content area is a grid of 12 subproject modules, each with a small icon and a text label. The modules are arranged in three rows and four columns:

- Row 1: MIS, Trading, Accounts, Derivative
- Row 2: Custody, C.R.D, Surveillance, Admin
- Row 3: Credit Request, Service & Support, Sales Promotion, Introducer

SPARC Modules

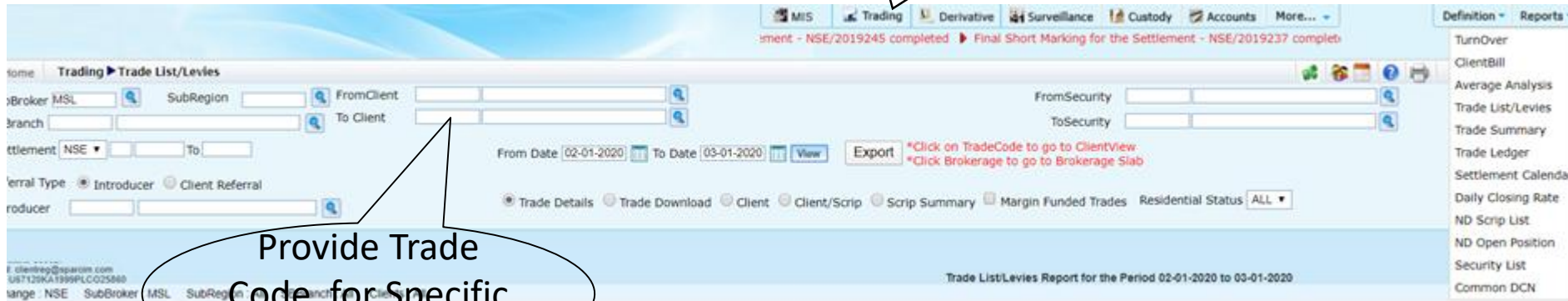


- By selecting **Sub-Projects** user can move between Project
- All entry modules grouped under **Definition**
- User can access Reports through **Report**
- Through Client Net user can co-brows with **Customer Care**

Features

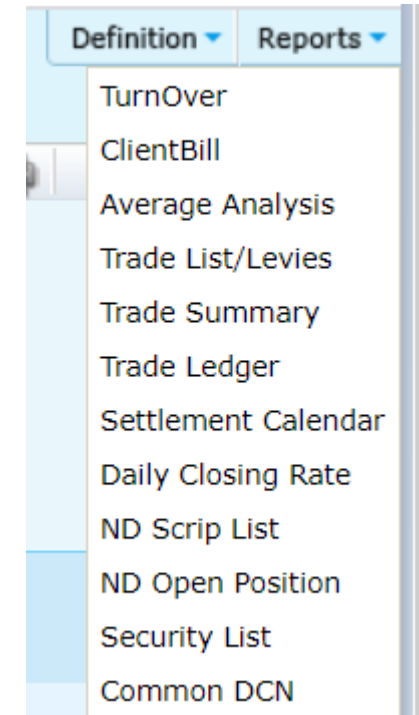
Trading - Reports

Equity Market



Provide Trade Code for Specific Client/S

Reports Menu



Features

Derivative – (Derivative Market)



MIS Trading Derivative Surveillance Custody Accounts More... Definition Reports

Settlement - NSE/2020002/N completed ▶ Ben to Pool Transfer for the Settlement - NSE/2020002/N

- Trade List Levies
- Turn Over
- Trade Summary
- Client Summary
- Open Position
- In The Money
- Settlement Statement
- Premium Receivable
- Span Margin
- Client Margin

Features

Custody – (Settlement Process)

The screenshot displays a software interface with a top navigation bar containing several menu items: MIS, Trading, Derivative, Surveillance, Custody, and Accounts. A 'More...' dropdown menu is also present. Below the navigation bar, a status bar shows a red notification: 'POA to Pool Transfer for the Settlement - NSE/2020002/N complete'. The 'Custody' menu item is circled with a speech bubble labeled 'Custody'. The 'Reports' dropdown menu is open, showing a list of options, with a speech bubble labeled 'Settlement Reports' pointing to it. The 'Reports' menu items are: Settlement Position, AllSettlementPosition, AnyScrip Position, BEN/MBEN Holding Statement, Security Payout Report, Outward Register, InterSettlement Shifting, Transaction Statement, Old Delivery Transaction Statement, and Corporate Action Dividend. A separate list of features is shown below the main interface, including: Corporate Action Bonus, DP ChargeDetails, Client DP View, Ben Ageing Report, POA Status, Register Of Securities, ISIN View, and POA MisMatch Process.

Custody

Settlement Reports

MIS Trading Derivative Surveillance Custody Accounts More... Definition Reports

▶ POA to Pool Transfer for the Settlement - NSE/2020002/N complete

Settlement Position
AllSettlementPosition
AnyScrip Position
BEN/MBEN Holding Statement
Security Payout Report
Outward Register
InterSettlement Shifting
Transaction Statement
Old Delivery Transaction Statement
Corporate Action Dividend

Corporate Action Bonus
DP ChargeDetails
Client DP View
Ben Ageing Report
POA Status
Register Of Securities
ISIN View
POA MisMatch Process

Features

Accounts - Reports

Accounts

Accounts Reports

The screenshot displays a software interface with a top navigation bar containing several menu items: MIS, Trading, Derivative, Surveillance, Custody, Accounts, and More... The 'Accounts' menu item is highlighted. Below the navigation bar, there is a status bar with red text: 'ool Transfer for the Settlement - NSE/2020002/N completed' and 'Ben to Pool Transfer for the Settlement'. A dropdown menu is open under the 'Accounts' menu, listing various report options: All Segment Client Ledger(SC), Fund Flow, Valan Report, Ledger, Bank Book, Day Book, Trial Balance, Fund PayIn Statement, Funds PayOut Details, Bounced Cheques, Debit Penalty Details, Divident Report, Ageing Report, and Compliance Payout Report. The 'Accounts Reports' text in the speech bubble points to this dropdown menu.

Features

Accounts → Definition → Payout Request

The screenshot shows the 'Accounts → Funds Payout' interface. At the top, there are navigation tabs for MIS, Trading, Derivative, Surveillance, Custody, and Accounts. Below these, a status bar displays 'NSE/2020001/W completed' and 'Ben to Pool Transfer for the Settlement - NSE/2020001/N completed'. The main form area includes fields for SubBroker (MSL), SubRegion, FromClient, To Client, and Segment (CASH). There are 'View' and 'Save' buttons, and two callout boxes: a green one for 'New Request Amount' and an orange one for 'Pending Request Amount'. Below the form is a table with the following data:

SI No	TradeCode	Client Name	Client Bank	Request Amount	AvailableBalance	UnSettledBalance	Cash Balance	COM Balance	AllSegmentBalance	OtherDues	PayC
1	MSL	A...	***7714 CANARA BANK	258.3	258.3	0	258.3000	0	258.30	0	BANK

- User can put Payout request through **Accounts → Funds Payout**
- Click **View** button for viewing the list of clients with credit balance
- For requesting the due amount of one specific client, provide the Trade code, [From client] – [To Client] and click **View**
- User can modify the **Request Amount**
- System will show the **Available Balance**

Modify The Amount if needed

Features

Accounts → Definition → Funds Pay in

The screenshot shows a software interface for entering 'Funds Pay in'. At the top, there are buttons for 'Add', 'Modify', 'Delete', 'Save', 'Cancel', and 'Find'. The form fields include:

- Client:** ABCK022 | KANTILAL JAIN (with a 'View' button)
- Payee Bank:** ICICI BANK(625101021938)-OTC ROAD BANGALORE
- Receipt Bank:** CANARA BANK-ABC 2374
- Payment Mode:** Radio buttons for Cheque (selected), Fund Transfer, NetBanking, RTGS, and NEFT.
- Cheque No:** 273021
- Cheque Date:** 02-01-2020
- Voucher Date:** 02-01-2020
- Receipt No:** (empty field)
- Narration:** BY CHEQUE /273021

Below the form is a table with columns 'Exchange', 'Balance', and 'Amount':

Exchange	Balance		Amount
NATIONAL STOCK EXCHANGE LTD (NSE)	0	<input checked="" type="checkbox"/>	50000
BOMBAY STOCK EXCHANGE LTD (BSE)	0	<input type="checkbox"/>	0.00
National Securities Depository Limited (NSDL)	0	<input type="checkbox"/>	0.00

Callouts from the image:

- 'Select The Trade Code' points to the Client field.
- 'Choose the payment mode' points to the Cheque/Fund Transfer radio buttons.
- 'Enter The Amount' points to the amount field in the table.

- User can enter Funds Pay In through **Accounts → Funds Pay – In**
- Select The Client code / Trade code click on **View**
- User has to choose the mode of payment **Cheque / Fund Transfer**

Features

Accounts → Reports → Statement of Accounts

MIS Trading Derivative Surveillance Custody Accounts More... Definition Reports

POA to Pool Transfer for the Settlement - NSE/2020002/N complet

Home Accounts All Segment Client Ledger(SOA) MSL SubRegion FromClient MSLPJ01 From Date 01-12-2019 Company ALL With Margin

SBBBranch To Client MSLPJ01 To Date 03-01-2020 Exchange ALL * Only Approved Ledgers Will Be Shown

Type Voucher Date Effective Date Include Sauda Report Type ALL View Single mail Mail to client No Of Lines Per Page 60

SEBI Registration No:- BSE-EQ : INB011465532 | NSE-F&O : INF231465536 | NSE-EQ : INZ000160938 | NSE-CDS : INE231465536 | MCX-SX : INE261465536

Statement of Accounts for the Period 01-12-2019 to 03-01-2020 Report Time : 03-01-2020 10:02:AM User : MSL

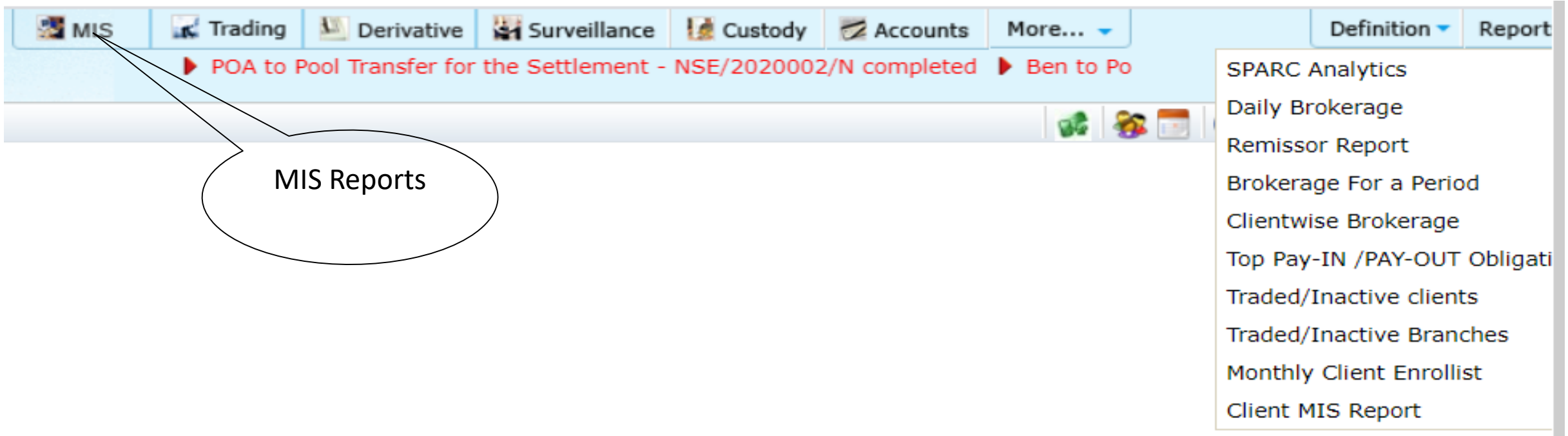
Client: 801 Pin: KA/Phone No:26626020 Trade Code:MSLPJ01 SBBBranch : All SubRegion : All SubBroker : MSL Exchange : All

Date	V.Ref	Narration	Debit	Credit	Balance
01-12-2019		Y Opening Balance	4,599.85		(4,599.85)
03-12-2019	201912030002	SETTNO=2019226NSECMNBILL POSTED		94,842.46	90,242.61
03-12-2019	201912030167	ON A/C NSEPI NACH CR		5,000.00	95,242.61
06-12-2019	201912060002	SETTNO=2019229NSECMNBILL POSTED	5,694.74		89,547.87
10-12-2019	201912100002	SETTNO=2019231NSECMNBILL POSTED		60,198.19	149,746.06
10-12-2019	201912100047	NSEPO	79,547.87		70,198.19
10-12-2019	201912101732	DELAY PAY IN CHARGES FOR 16/11/2019 TO 30/11/2019	4.00		70,194.19
10-12-2019	201912102608	SGST 9% ON DELAY PAY IN CHARGES FOR 16/11/2019 TO 30/11/2019	0.36		70,193.83

Select The Trade Code

Features

MIS – Management Information System



The screenshot displays the MIS (Management Information System) interface. The top navigation bar includes tabs for MIS, Trading, Derivative, Surveillance, Custody, Accounts, and More... The main status bar shows two red alerts: "POA to Pool Transfer for the Settlement - NSE/2020002/N completed" and "Ben to Po". A callout bubble labeled "MIS Reports" points to the MIS tab. A dropdown menu is open under the "More..." tab, listing various reports and analytics options.

MIS Reports

- SPARC Analytics
- Daily Brokerage
- Remissor Report
- Brokerage For a Period
- Clientwise Brokerage
- Top Pay-IN /PAY-OUT Obligati
- Traded/Inactive clients
- Traded/Inactive Branches
- Monthly Client Enrollist
- Client MIS Report

Features

MIS

- SPARC Analytics Report.
- Location wise / Exchange wise volume
- No. of clients traded / No. of Orders.
- Top N Branches / Clients.
- Traded Inactive Clients.
- Monthly Client Enroll List.

Home MIS > SPARC Analytics

Trade Date 01-07-2016 View

SPARC ANALYSIS

Summary			
Volume(Cr)	653.36	No Of Orders	5,481
Brokerage	86,263.44	No Of Trades	10,175
Call N Trade Charge	5,700.00	No Of Clients Traded	740
BrokPassOn	6,881.46	No Of Clients Registered	20

Exchange wise details

Exchange	Volume(Cr)	DivVolume(Cr)	No Of Orders	Clients Traded	Brokerage	BrokPasson	CallNTradeCharge
NSE	11.59	3.02	1,785	279	19,842.59(23.0%)	1,651.78	1,640.00
BSE	.51	.35	105	30	951.74(01.1%)	31.86	40.00
NSEFO	547.22	.00	2,174	356	43,213.01(50.1%)	3,299.05	2,060.00
NSECD	22.04	.00	96	16	1,735.08(02.0%)	98.00	.00
MCX	72.00	.00	1,321	143	20,521.02(23.8%)	1,800.77	1,960.00

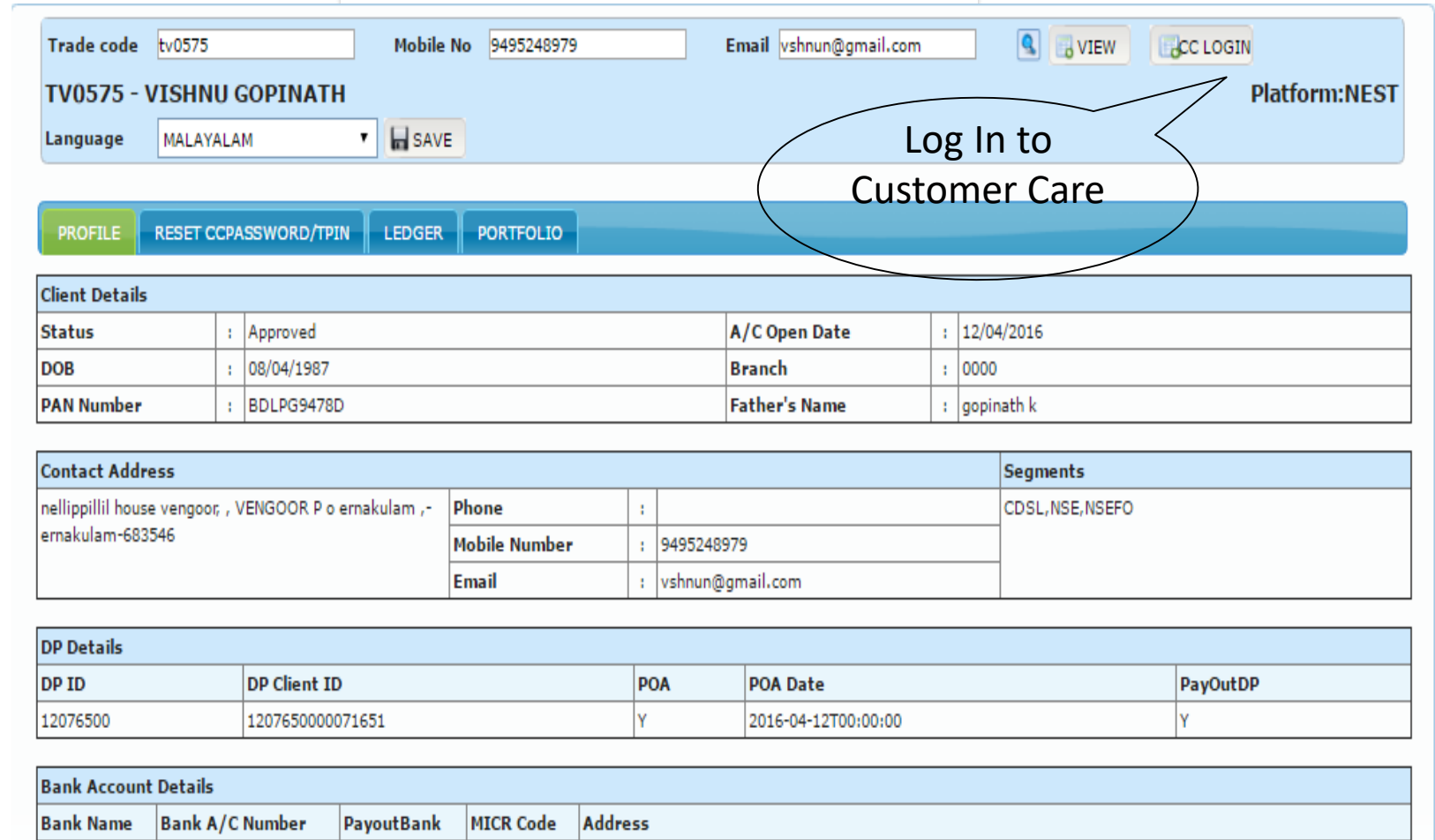
Branch wise details

Branch	Volume(Cr)	DivVolume(Cr)	No Of Orders	Clients Traded	Brokerage	BrokPasson	CallNTradeCharge
	405.11	2.64	3,579	556	49,554.63(57.4%)	6,464.10	3,860.00
	20.14	.31	231	42	10,237.07(11.9%)	80.31	280.00
	228.11	.41	1,671	226	26,471.74(30.7%)	337.05	1,560.00

Features

CLIENT NET

Access client Net
and customer care



The screenshot displays the Client Net interface for user TV0575 - VISHNU GOPINATH. The interface includes a header with user information, a navigation bar with buttons for PROFILE, RESET CCPASSWORD/TPIN, LEDGER, and PORTFOLIO, and several data tables for Client Details, Contact Address, DP Details, and Bank Account Details. A callout bubble points to the 'Log In to Customer Care' button in the header.

Trade code Mobile No Email [VIEW](#) [CC LOGIN](#) Platform:NEST

TV0575 - VISHNU GOPINATH

Language [SAVE](#)

[PROFILE](#) [RESET CCPASSWORD/TPIN](#) [LEDGER](#) [PORTFOLIO](#)

Log In to Customer Care

Client Details			
Status	: Approved	A/C Open Date	: 12/04/2016
DOB	: 08/04/1987	Branch	: 0000
PAN Number	: BDLPG9478D	Father's Name	: gopinath k

Contact Address		Segments	
nellippillil house vengoor, , VENGOOR P o ernakulam , - ernakulam-683546	Phone	:	
	Mobile Number	:	9495248979
	Email	:	vshnun@gmail.com
		CDSL,NSE,NSEFO	

DP Details				
DP ID	DP Client ID	POA	POA Date	PayOutDP
12076500	1207650000071651	Y	2016-04-12T00:00:00	Y

Bank Account Details				
Bank Name	Bank A/C Number	PayoutBank	MICR Code	Address

Features

CUSTOMER CARE

- Responsive site with multiple themes.
- Integrated **pay-in** module with payment gateways such as **ATOM** etc.
- Various reports like Ledger, Trade Registry, Contract Note, P&L Report
- Provision to enter external trade rates for P&L calculations
- Client Portfolio reports.

